## INTRODUCTION: COMPARATIVE HISTORY, CROSS-NATIONAL HISTORY, TRANSNATIONAL HISTORY—DEFINITIONS

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Viewed from the long perspective of European history, studies that cross national boundaries are neither new nor necessarily revolutionary. Although historical comparisons may be as ancient as Plutarch's *Parallel Lives*, it was the philosophers of the European Enlightenment who first set out to distinguish various areas of the world based upon customs, laws, and religions. If the nineteenth century saw the beginnings of national history to accompany nation-making projects, it also fed an unprecedented boom in comparisons, bolstered by the emerging disciplines of ethnology, anthropology, philology, and law.¹ Even the systematic practice of comparative history, as pioneered by Marc Bloch, Henri Pirenne, and Otto Hintze in the era that followed the Great War, can now boast a venerable pedigree.²

What is new today is the pervasive skepticism about national history itself. In an era of globalization—we are told—the traditional "national" approach to history no longer suffices. Critics have registered a number of objections: the claims of empire are pressing, regions cannot be ignored, the old exceptionalisms no longer persuade.<sup>3</sup> To take the nation as the focal point, it has been argued, overly restricts the view. Enthroned in most subfields since at least the Second World War, national history, especially of Europe, seems increasingly under siege. To these challenges, historians have sought a solution in the realms of cross-national and comparative work. As conferences advertise for

comparative panels and foundations solicit cross-national proposals, the virtues of venturing beyond national history are often extolled.

But what is largely missing in this enthusiastic rush beyond the nation is any sense of how to tackle comparative and cross-national work. There are, of course, rafts of theoretical, often hortatory, essays on the subject of comparison. We have classificatory typologies galore, most of them bequeathed to us by sociologists in the late 1970s and early 1980s, the years in which comparative historical sociology reached its apogee. Whether the practitioner's aim is the general demonstration of a theory or the illumination of a specific historical context, comparisons have been sorted by genus and phylum down to a dizzying variety of types.<sup>4</sup> The now-classic typologies developed by Theda Skocpol and Margaret Somers, on the one hand, and Charles Tilly, on the other, help to distinguish the ambitions of the historian from those of the sociologist, a subject that Peter Baldwin takes up in his essay in this volume; however, they shed little light on how one should proceed.

For all of the encomiums to histories that cross national boundaries, practical advice for the would-be practitioner is in short supply.<sup>5</sup> How to formulate a topic that illuminates both the specific national history and the larger phenomenon, how to address the problem of sources that may reveal more about a particular country's archival practices than the subject at hand, how to craft arguments that impress specialists without doing violence to the historical context—all have been left to the skill (and luck) of the individual historian. The wheel has been reinvented a number of times, usually in isolation. Only very infrequently do cross-national or comparative historians openly discuss the disadvantages and pitfalls of what they do. There are few realistic appraisals of the problems and costs. Basic questions remain not simply unanswered, but even unasked. What sorts of studies are most likely to succeed? What kinds of work should be avoided? What are the benefits of cross-national versus comparative work? When should one approach be chosen over another? What sorts of findings and conclusions should we expect of these types of studies?

Comparison and History brings together scholars who have worked either cross-nationally or comparatively to reflect upon their own research. In chapters that engage practical, methodological, and theoretical questions, our contributors assess the gains—but also the obstacles and perils—of histories that traverse national boundaries. These are essays to persuade, to criticize, to warn, but above all, to advise. Our aim is to provide a much-needed assessment of these

approaches for scholars who are considering embarking upon projects that lead them beyond their national area of expertise. We hope, too, that this book will be of use to students whose historical training is likely to range to several national, even continental, fields. This book, as its title makes clear, is written by, and chiefly for, historians, although some of its chapters may be of interest to other disciplines that have made comparison a stock in trade, especially sociology, political science, anthropology, and literature.

Although many of the essays will appeal to a broader audience, we have chosen to focus our book on Europe. In part, this reflects an effort at coherence. By choosing a geographical center, we hoped to provoke the sorts of practical exchanges generally lacking in discussions of comparative and cross-national work. Our focus is an acknowledgment, too, of the relative abundance of both comparative and crossnational research in the European fields, as practiced in the United States, and in certain European countries, especially Germany and France.<sup>6</sup> As nations that share a number of common experiences, the European countries lend themselves to cross-national scrutiny. European regions demonstrate the persistence of local ties even as nation-states consolidated their hold. The borrowings and exchanges among European states have been as profound as their antagonisms. With the coming of unification, Europe's commonalities and shared heritage, as well as each individual country's distinctiveness, have become subjects of public debate.

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Comparison and History explores two crucial alternatives to standard national monographs: comparative history and cross-national history. Of the two genres, comparative history is seemingly the more easily defined. Comparative history is concerned with similarities and differences; in explaining a given phenomenon, it asks which conditions, or factors, were broadly shared, and which were distinctive—a variant on J.S. Mill's classic formulation of the methods of agreement and difference. The comparisons drawn are most often between nations, although other units of comparison are possible, even (as some of the contributors to this volume argue) desirable. The methodological reference points for comparative history have been the social scientific disciplines, especially sociology and political science, although historians have rarely aspired to the sorts of universal explanations associated with the social sciences. Comparative history has often been

characterized by an interest in causation. However, explaining why need not be its principal ambition, as Susan Grayzel and Maura O'Connor discuss in their respective essays.

If the preceding serves as an adequate description of comparative history, it falls short of a definition. For all of the term's ubiquity, there is little consensus about precisely what comparison means for the historian. Marc Bloch termed it a "method," capable, like other scientific methods, of yielding results for verification and falsification. However, those who have followed Bloch have been less certain. If a method requires faithful adherence to a well-defined set of practices, most comparative histories seem too unsystematic to qualify, the "method" itself either too inchoate or, as Raymond Grew has noted, no different from standard historical practice more generally.8 Rather than a method or a distinct historical genre, writes Thomas Welskopp, comparison offers "a way of considering" a problem, closer to a mode of analysis.9 On similar grounds, others have preferred to think of comparison more modestly, as a tool to provoke thought, or, more lyrically, as George Fredrickson puts it, as an "imagination." 10 For Louis Hartz, impatient with the narrow horizons of American historiography, comparative history served as the "intellectual expression of the new cosmopolitanism" afoot in the early 1960s—less, in other words, a method than a liberation.11

Cross-national history, by contrast, has largely been defined by the researcher's range of inquiry. Whether the subject is the culture of celebrity or the transatlantic slave trade, cross-national histories follow topics beyond national boundaries. They seek to understand reciprocal influences, as well as the ways in which the act of transplantation itself changes the topic under study. As a consequence, scholars who work cross-nationally are often more interested in crossings-whether real or imaginary—than they are in the specific national settings. Their focus is upon the historical contingency that movement itself introduces; the subjects of their studies can be as influenced by events abroad as they are by those at home. Understood broadly, crossnational history includes the history of colonialism and imperialism, which have illuminated both the crucial importance of empire to the European nations, and the European states' often malevolent influences abroad. It also encompasses what American historians have called the "new transnational history," a historiographical project that seeks to transcend a narrow focus upon the nation-state in the pursuit of (to quote Ian Tyrrell) "the international context of national action in all of its manifestations."12

As David Armitage points out in this volume's final chapter, the term "transnational" first took root in legal scholarship during the 1950s to indicate "actions or events that transcend national frontiers." 13 It has since migrated to the social sciences and humanities, defining a realm of interdependence or relation that, by definition, supercedes national sovereignty and boundaries; in this way, it differs from the purely descriptive term "international." For Glenda Sluga, transnational history has helped to conceptualize "an alternative spatial framework to the nation," calling into question, as she puts it, "the units out of which histories are made."14 In the United States, as in France and Germany, "transnational" history has taken up many of the concerns of imperial history, prospering, too, in the subfields of immigration, environmental, and social movement history. And yet, as a number of critics have charged, the term "transnational" itself involves an analytic limitation: it presumes the extranational quality of the given subject. 15 The cautions that Armitage offers about the teleological character of globalization hold true for the category of the transnational as well; far from inevitable, global interconnectedness was, as Armitage demonstrates, notoriously contingent: "Globalization's histories are multiple and its pre-histories just as various. It would be fallacious to seek a single pre-history of globalization, both because it has had many paths and because none of those paths has been unbroken."16

If transnational analysis presupposes a skeptical stance towards the nation as the chief organizing category of history, we intend "crossnational," by contrast, as a more neutral term to describe the scope of an historian's investigation. Under the rubric of the cross-national, we include the genres of Transfergeschichte and histoire croisée, as they have been developed in France and Germany. Transfergeschichte, the history of transfers between nations, owes its origins to the work of scholars such as Michel Espagne, who have sought to demonstrate how knowledge, broadly defined, has traveled across national boundaries.<sup>17</sup> Topics for Transfergeschichte have included, among others, the reciprocal influences of French and German educational systems and the reception accorded Hegel in France. The focus is upon processes, and especially upon cultures; historians of transfer have argued that purportedly "national" cultures instead reflect a wide array of external influences. Histoire croisée, as delineated by Bénédicte Zimmermann and Michael Werner, has taken the historian's own positionality as its methodological starting-point.<sup>18</sup> Histoire croisée (an imperfect English translation from the French is "entangled history" or connected and

shared history) emphasizes the cultural and social connections between nation-states. Histoire croisée demands a self-reflective practice among historians: it asks that historians understand their categories of analysis, as well as their objects of study, as "entangled" products of national crossings; thus methodological approaches, historical evidence, and categories of analysis inherited from the past need to be historicized. Rather than proceeding on the basis of established categories of "nation," "state," or "society," histoire croisée orients itself around problems, particularly the "entangled" historical relationships between Germany and France in the nineteenth and twentieth centuries.<sup>19</sup> In demonstrating the longue durée of European integration, histoire croisée can be understood as a scholarly homage to the European Union. Indeed, the first edited volume on the subject of histoire croisée carried a preface by Jacques Delors, former president of the European Commission.<sup>20</sup> But perhaps because of the narrow focus of much histoire croisée, in the United States and Great Britain, transnational history has all but upstaged its debut.21

We have chosen to include essays both about comparison and crossnational research within the covers of one book because, as a number of the chapters make clear, these two types of studies have often been considered as alternatives to each other and in opposition.<sup>22</sup> Scholars who practice Transfergeschichte and transnational history have criticized the static conceptions of the nation and emphasis upon national differences that (they claim) plague comparative history.<sup>23</sup> Comparativists have answered, as Heinz-Gerhard Haupt and Jürgen Kocka do in this volume, that without some comparative starting point, how can one really understand the nature of a given transfer? For their part, historians who endorse the genre of histoire croisée have maintained (among other criticisms) that comparative history too often verges upon tautology; the argument that a given study wishes to prove is already implicitly embedded in its construction. However, as the essays in this volume demonstrate, the line between cross-national history and comparative history is not necessarily a bright one. Many studies can, and should, accommodate a range of different approaches.

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Represented within this book are a variety of different points of view. We have not attempted to harmonize our contributors' opinions, viewing their differences as a fruitful guide to the sorts of questions and decisions that confront the historian. The points of difference about

## Suggestions for Further Reading

## WORKS OF HISTORIOGRAPHIC, METHODOLOGICAL, OR THEORETICAL INTEREST

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